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U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATT	Y. DOCKET NO.
09/786,377	Aladar A Szalay	11785-3 INTERNATIONAL APPLICATION NO. PCT/US99/20207	
David A Farah			
c/o Sheldon & Mak	·	I.A. FILING DATE	PRIORITY DATE
225 South Lake Avenue		09/02/1999	09/03/1998

9th Floor Pasadena, CA 91101

**CONFIRMATION NO. 8166 371 FORMALITIES LETTER** OC000000010879706\*

Date Mailed: 09/16/2003

## NOTIFICATION OF DEFECTIVE RESPONSE

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- Indication of Small Entity Status
- Priority Document
- Copy of the International Application filed on 03/01/2001
- Copy of the International Search Report filed on 03/01/2001
- Copy of IPE Report filed on 03/01/2001
- Information Disclosure Statements filed on 03/01/2001
- Biochemical Sequence Diskette filed on 03/01/2001
- Oath or Declaration filed on 03/01/2001
- Biochemical Sequence Listing filed on 09/23/2002
- Request for Immediate Examination filed on 03/01/2001
- U.S. Basic National Fees filed on 03/01/2001

Applicant's response filed 09/23/2002 is hereby acknowledged. The following requirements set forth in the NOTIFICATION of MISSING REQUIREMENTS mailed 04/26/2001 have not been completed.

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

Applicant is required to complete the response within a time limit of ONE MONTH from the date of this Notification or within the time remaining in the response set forth in the Notification of Missing Requirements, whichever is the longer. No extension of this time limit may be granted under 37 CFR 1.136, but the period for response set in the Notification of Missing Requirements may be extended under 37 CFR 1.136(a).

The following items MUST be furnished within the period set forth below:

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason(s):





- A replacement CRF diskette is needed for the one submitted on Sept. 23, 2002.
- APPLICANT MUST PROVIDE:
  - An initial or substitute paper copy or compact disc of the "Sequence Listing," as well as an amendment directing its entry into the specification.
- For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:
  - For Rules Interpretation, call (703) 308-4216
  - To Purchase Patentin Software, call (703) 306-2600
  - For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice **MUST** be returned with the response.

JOHN L ANDERSON

Telephone: (703) 308-9116

## PART 2 - OFFICE COPY

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09/786,377	PCT/US99/20207	11785-3

FORM PCT/DO/EO/916 (371 Formalities Notice)